## Patrick L. Schmidtberger

## First Appearance

Date: June 10, 2004

Respondents: Patrick L. Schmidtberger of Hays, Kansas

Charges were filed on June 9, 2004 in the District Court of Ellis County, Hays, Kansas on Patrick L. Schmidtberger. Schmidtberger is charged with two counts of Securities Fraud and two counts of Sale of Unregistered Securities. Charges stem from alleged incidences that occurred from November 2001 through May 2002.

Schmidtberger made his First Appearance in the District Court of Ellic County, Kansas on June 10, 2004. He was released on \$20,000 bond under the supervision of the Court Service Office of the 23rd Judicial District. Schmidtberger is currently on Felony Probation with the Court Service Office that stems from an October 2001 conviction for Felony Theft in Ellis County, Kansas.

Charges are only that. A defendant is presumed innocent unless and until proven guilty in a court of law.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the <u>North American Securities Administrators Association</u> website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.